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Our Case No.: 6270/128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ransom et al.

Serial No.: TBD (Cont of S/N 10/627,244)

Filing Date: Herewith

For: SYSTEM AND METHOD FOR
IMPLEMENTING XML ON AN
ENERGY MANAGEMENT DEVICE

Examiner: Unassigned

Group Art Unit No.: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

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In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicants have attempted to provide the earliest known publication date for each reference, however, these documents may have existed prior to the stated date. For those references whose earliest publication dates could not be determined, Applicants believe they were published prior to January 9, 2003.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation application of U.S. Serial No. 10/627,244, filed July 24, 2003 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 10/627,244 are enclosed for the convenience of the Examiner.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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FORM PTO-1449	SERIAL NO. TBD (Cont. of S/N 10/627,244)	CASE NO. 6270/128
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT Unassigned
(use several sheets if necessary)		APPLICANT(S): Ransom et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,448,229	09/05/95	Lee, Jr.	340/870.02	12/28/92
	A2	5,459,459	10/17/95	Lee, Jr.	340/870.02	11/25/94
	A3	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	A4	5,495,239	02/27/96	Ouellette	340/870.02	08/02/94
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	A10	6,035,285	03/07/00	Schlect et al	705/30	03/05/99
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A21	Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A22	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A23	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A24	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
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	A26	Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. TBD (Cont. of S/N 10/627,244)	CASE NO. 6270/128
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A28	Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.
	A29	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	A30	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	A31	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	A32	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	A33	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
	A34	EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website http://66.64.38.69/energyview , pp. 1-4, 10/30/01.
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	A51	Signature System™ Basics, obtained at internet address http://www.signaturesystem.com/sigbasics.html , 3/26/01. pp. 1-2.
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EXAMINER	DATE CONSIDERED
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FORM PTO-1449	SERIAL NO. TBD (Cont. of S/N 10/627,244)	CASE NO. 6270/128
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT Unassigned
(use several sheets if necessary)	APPLICANT(S): Ransom et al.	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A62	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address http://www.electroindustries.com/pdf/nxsbrochure.pdf pp. 1-14 printed 06/01/01.
	A63	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address http://www.netsilicon.com/EmbWeb/products/netarm.asp , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp on July 19/2001 on July 19, 2001
	A64	EmWare® "Solutions Device Link," brochure, obtained at internet address http://www.emware.com/solutions/devicelink/ , pp. 1-3, printed 06/01/01. Document archived http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/ May 24, 2001.
	A65	IReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address http://www.iready.com/ , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. http://web.archive.org/web/20010515202409/http://www.iready.com/ link on right side to "technology/index.html" captured March 15, 2001.
	A66	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", http://www.munet.com , pp. 1-2, 12/15/99.
	A67	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" http://www.munet.com , pp. 1-2, 03/18/99.
	A68	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
	A69	MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
	A70	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.
	A71	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.
	A72	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
	A73	P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
	A74	ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
	A75	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.

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(use several sheets if necessary)	APPLICANT(S): Ransom et al.	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A76	Lucent Technologies " AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
	A77	Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM – The "Smart House" Is Here" Press release, obtained at http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt , 7/11/02, pp. 1&2
	A78	Distributed.Net, http://n0cgi.distributed.net/faq/cache/178.html , printed June/10/2003. Link archived at http://web.archive.org/web/20000901052607/n0cgi.distributed.net/faq/cache/178.html on Sept 01, /2000.
	A79	Questa, Security overview, obtained http://www.questra.com/products/security.asp , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
	A80	Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A81	Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/TotalAccess.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A82	Axeda DRM System Overview, 2 pages, obtained http://www.axeda.com/solutions/overview.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A83	Axeda Access, 3 pages, obtained http://www.axeda.com/solutions/portals/portal_access.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A84	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A85	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A86	Axeda DRM Technology Overview, 1 page, obtained http://www.axeda.com/solutions/tech_challenges/standards.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A87	Axeda Solutions for Industry, 1 page, obtained http://www.axeda.com/solutions/industries/industrial.html , printed June 9, 2003. Archived at http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html on Feb 21, 2002.
	A88	Axeda Agents, 2 pages, obtained http://www.axeda.com/solutions/device_servers/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A89	Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained http://www.axeda.com/industries/casestudies_microprocessor.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

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